

# Introduction to Algorithms

## Lecture 6

# Recap

- Direct-accessible table
- Hash tables
- Hash functions
  - Universal hashing
- Perfect Hashing
- Open addressing

# Today's topics

- Binary-Search Trees
- Operations
  - Walk
  - Search, Minimum, Maximum
  - Insert, Delete

# Binary Search Trees

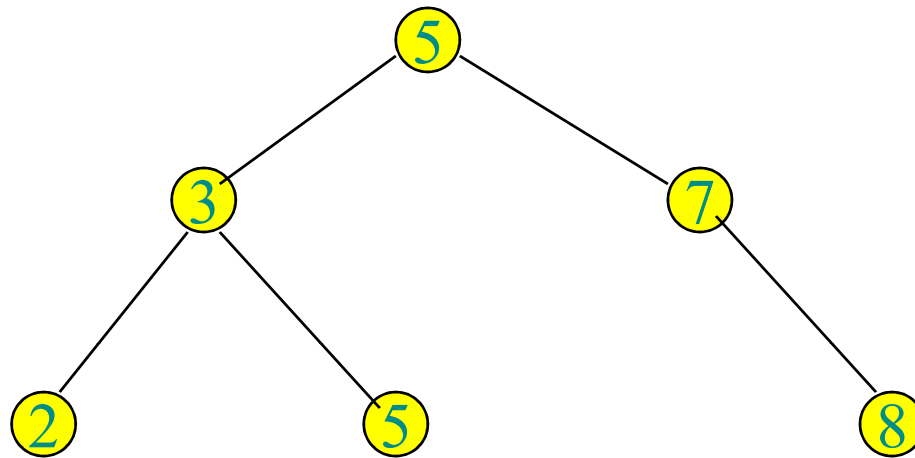
- **Implement Dictionary + Find-Min.**
- Assume that the input has a key that is an integer, or a string, or another data type on which a comparison  $<$  is well-defined. For simplicity we identify an item  $a$  and its key  $a.key$ .
- Items are placed on the nodes of a binary tree.

# Basic Idea

The following property is maintained:

- If  $a$  sits at a node, all the items on the **left** subtree have a key **smaller** than  $a$ .
- If  $a$  sits at a node, all the items on the **right** subtree have a key **bigger** than  $a$ .

# Binary Search Trees



# Implementation in memory

- A node is a structure with three points to **father, left child, right child**.
- The **root** has a **NIL** pointer for father.
- **Leaves** has **NIL** pointers for children. A node may have only one child; then the other is a **NIL** pointer.

# Walk

We can output the set of keys in sorted order in **linear** time.

- **inorder** tree walk
- **preorder** tree walk
- **postorder** tree walk

**Inorder-Tree-Walk**( $x$ )

**if**  $x \neq \text{NIL}$

**then** **Inorder-Tree-Walk**( $\text{left}[x]$ )

print  $\text{key}[x]$

**Inorder-Tree-Walk**( $\text{right}[x]$ )

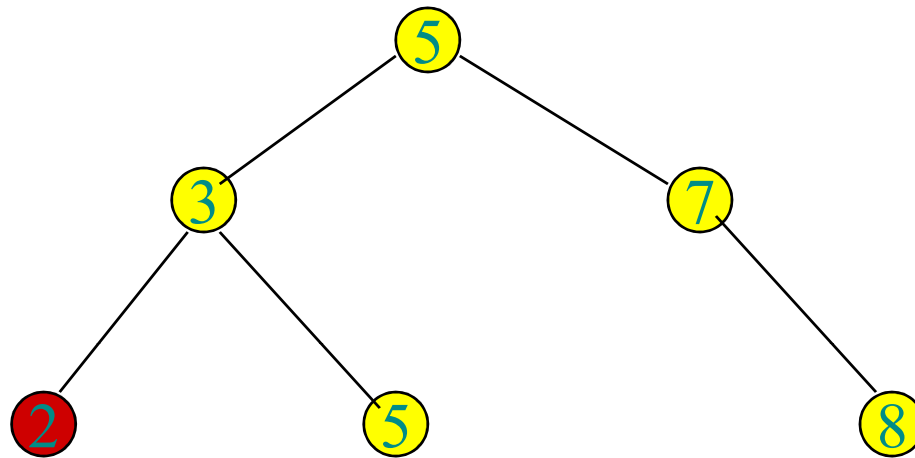
$T(n) =$

$T(k) +$

$T(n - k) + d$

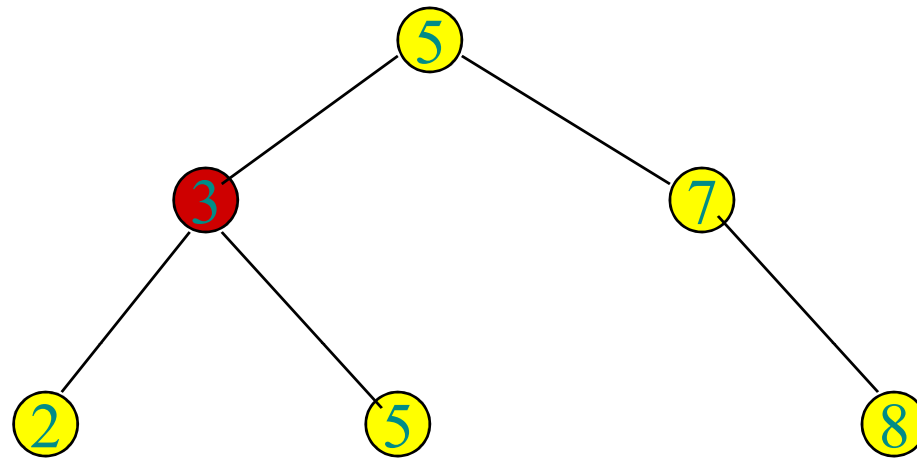


# Example of Inorder



2

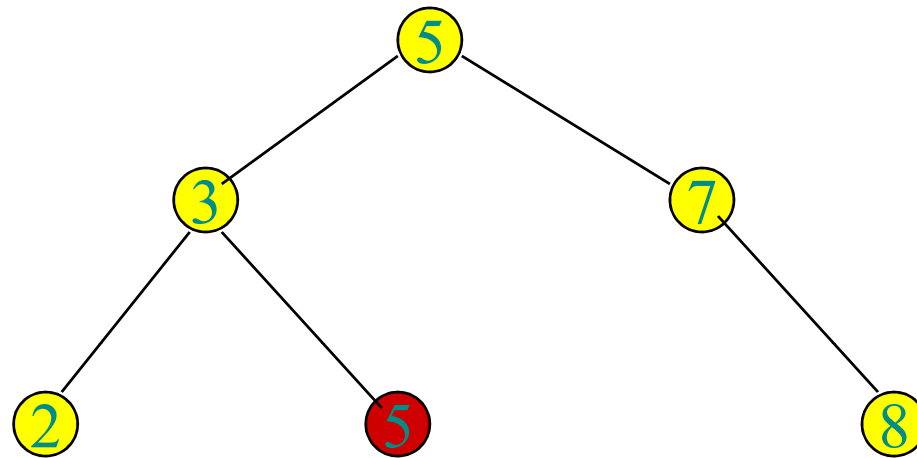
# Example of Inorder



2

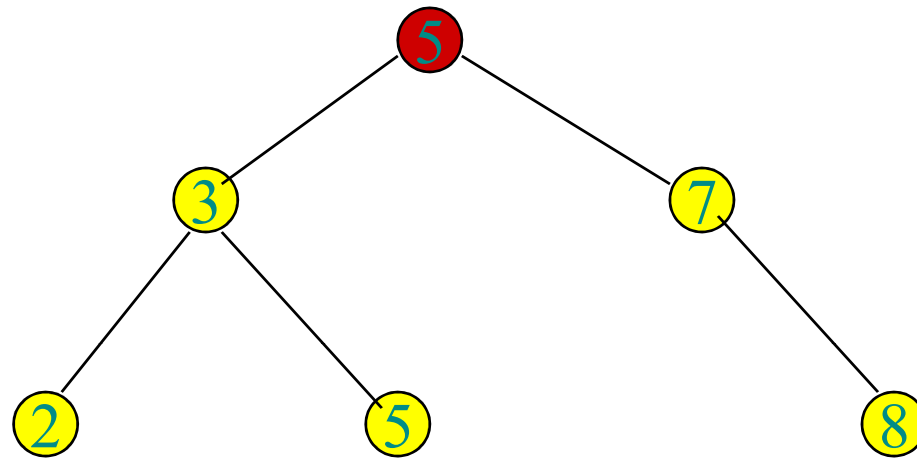
3

# Example of Inorder



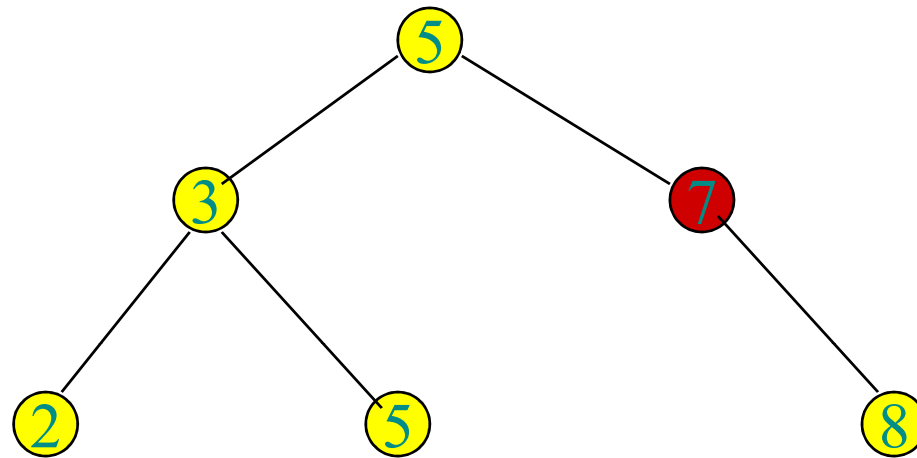
2 3 5

# Example of Inorder



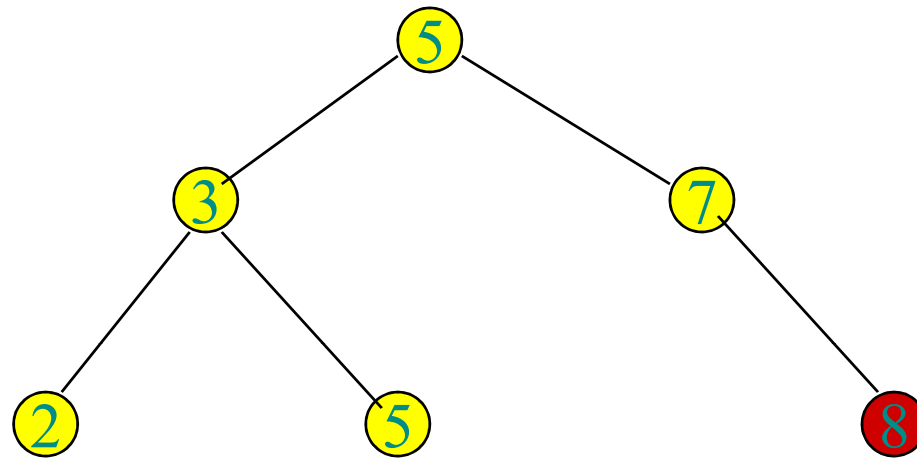
2 3 5 5

# Example of Inorder



2 3 5 5 7

# Example of Inorder



2 3 5 5 7 8

# Implementing Operations

**Search**( $a$ ).

Start a pointer  $p$  at the **root**. If the key is equal to  $a$ , done.

If the key is **smaller** than  $a$  proceed on the **right** child;

otherwise proceed on the **left** child.

**Fail** if the child you want to reach does not exist.

# Search

**Search**( $T, k$ )

$x \leftarrow \text{root}(T)$

**while**  $x \neq \text{NIL}$  **and**  $\text{key}[x] \neq k$

**do if**  $k < \text{key}[x]$

**then**  $x \leftarrow \text{left}[x]$

**else**  $x \leftarrow \text{right}[x]$

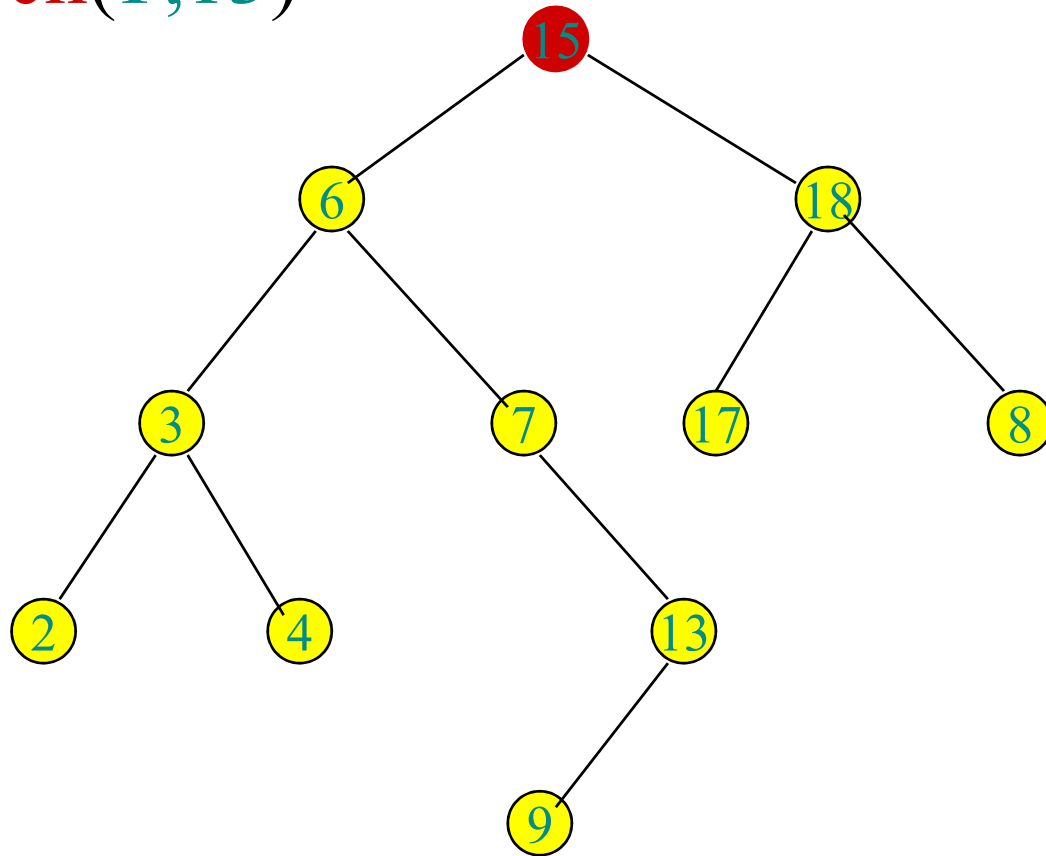
**return**  $x$

- running time:  $O(h)$ .



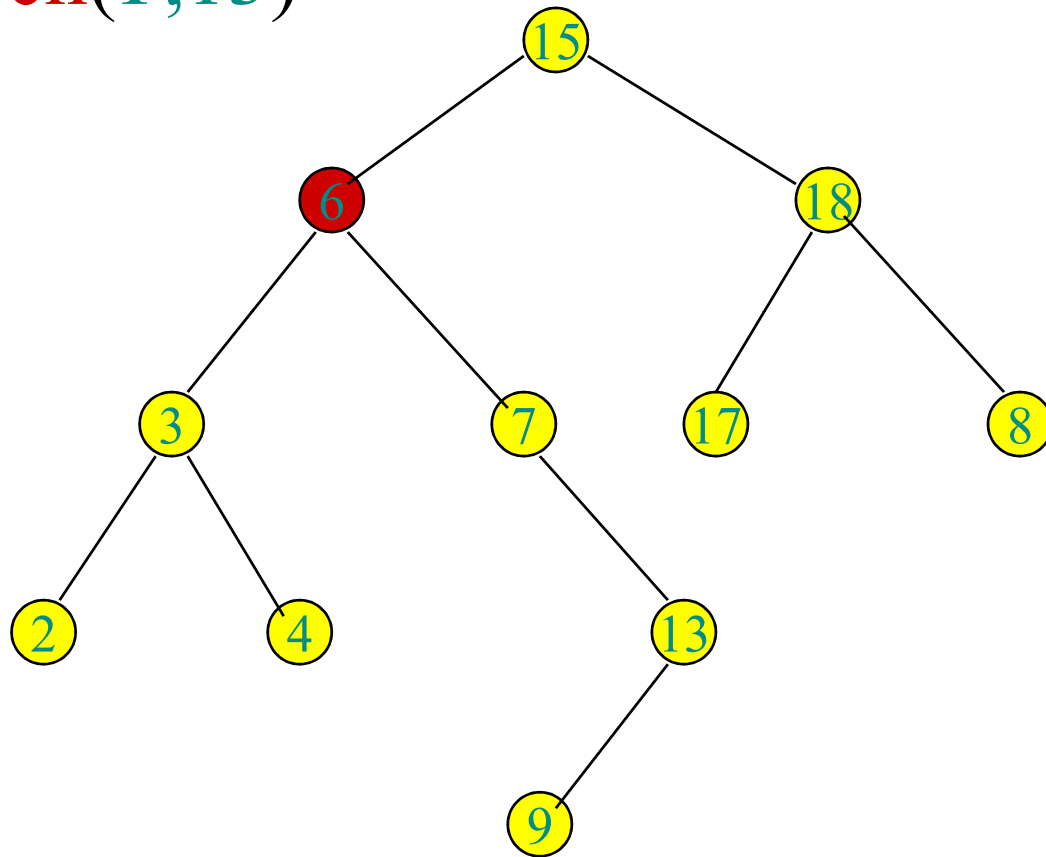
# Example of Search

Search( $T, 13$ )



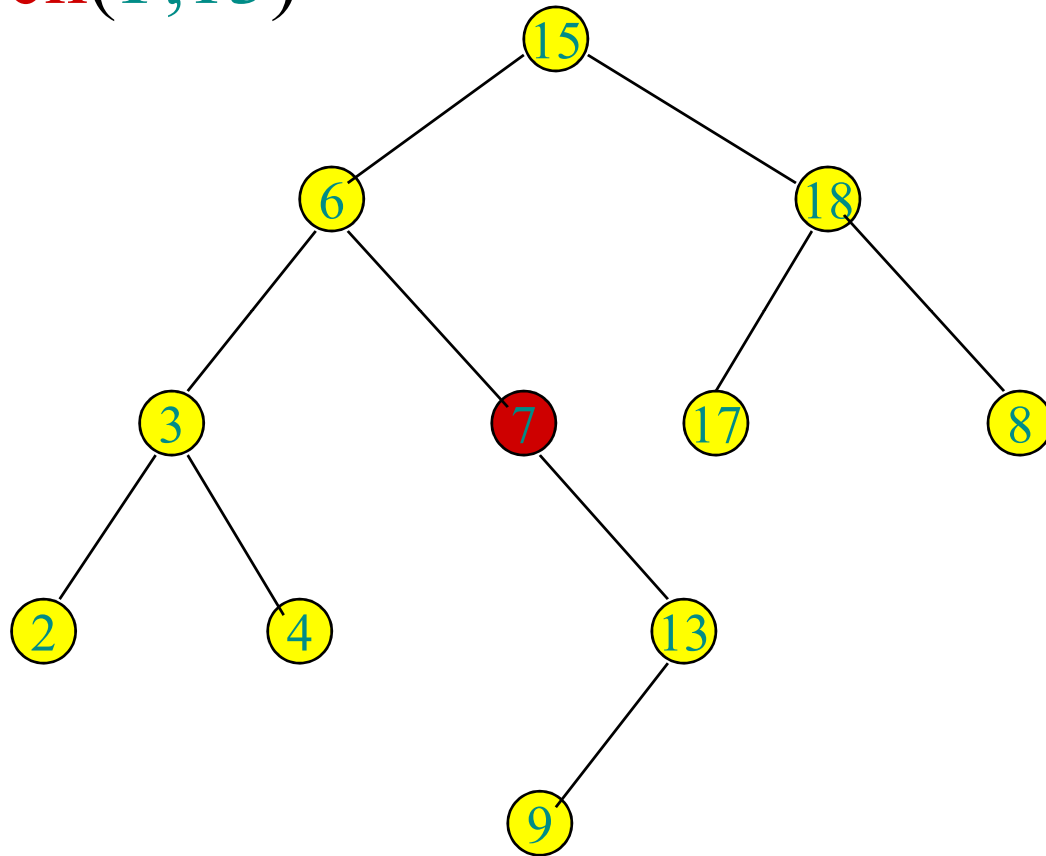
# Example of Search

Search( $T, 13$ )



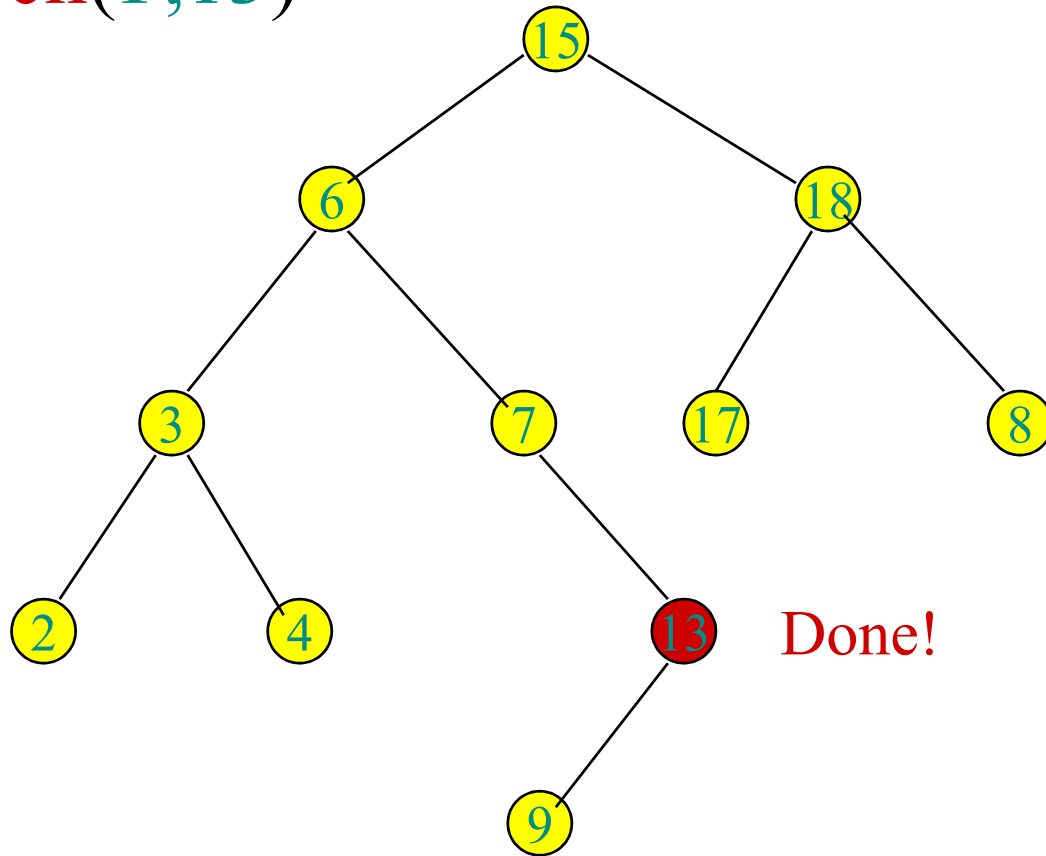
# Example of Search

Search( $T, 13$ )



# Example of Search

Search( $T, 13$ )



# Implementing Operations

**Find-min.**

Start at the root, and go to the left as much as possible.

**Insert( $a$ ).**

Do as in **Search( $a$ )**; create the child where you want to go but does not exist.

**Delete( $a$ ).** ??

# Minimum

**Tree-Minimum**( $x$ )

**while**  $left[x] \neq \text{NIL}$

**do**  $x \leftarrow left[x]$

**return**  $x$

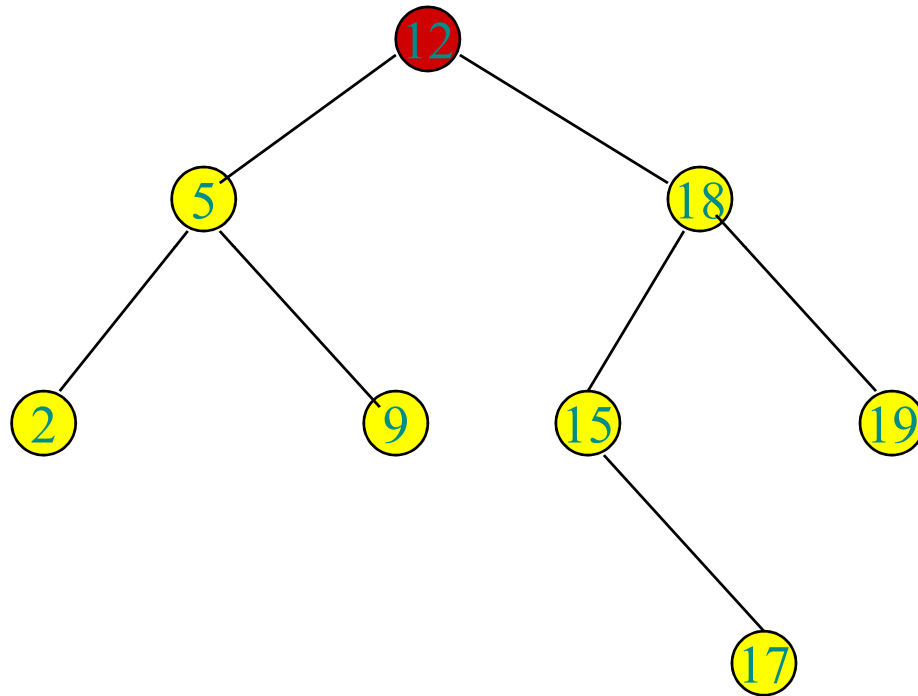
# Dynamic BST

## Insert( $T, k$ )

- run search until reach NIL (presume  $left[x] = \text{NIL}$ )
- $left[x] \leftarrow$  new node with  $key = k$   
 $left = \text{NIL}$   
 $right = \text{NIL}$   
 $parent = x$

# Example of Insert

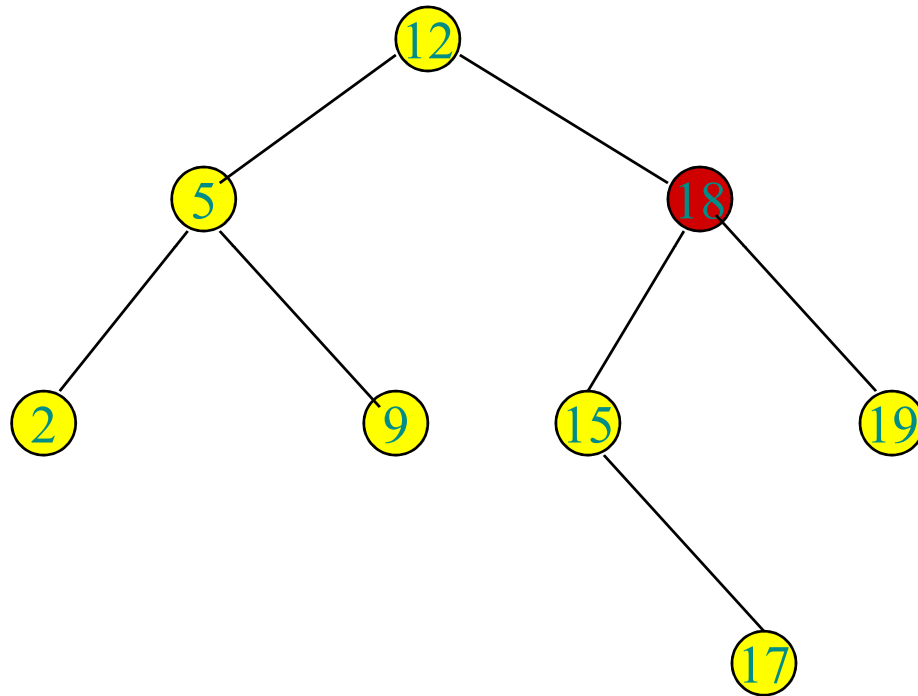
Insert( $T$ , 13)





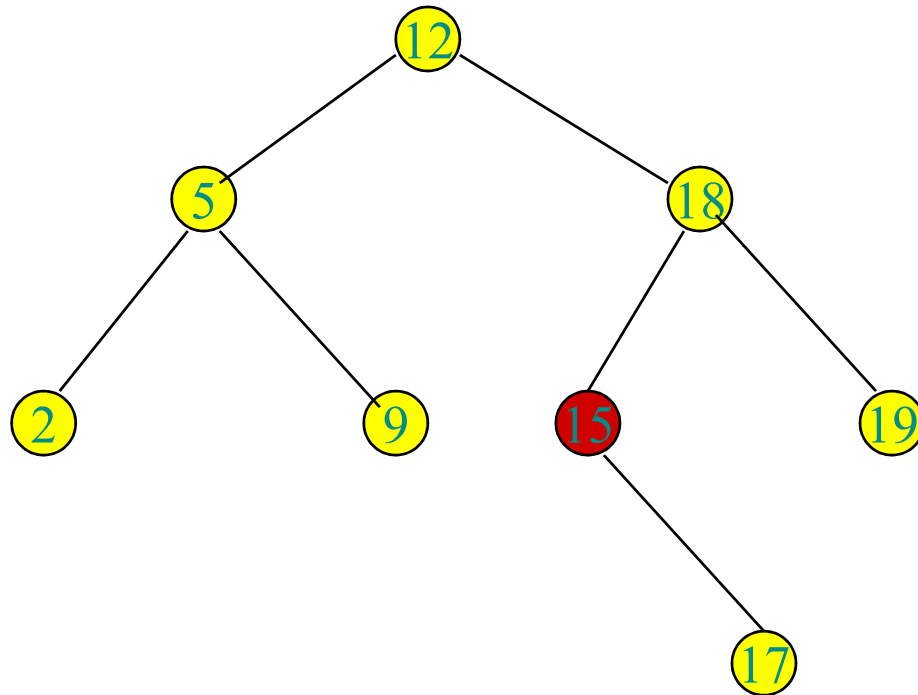
# Example of Insert

Insert( $T$ , 13)



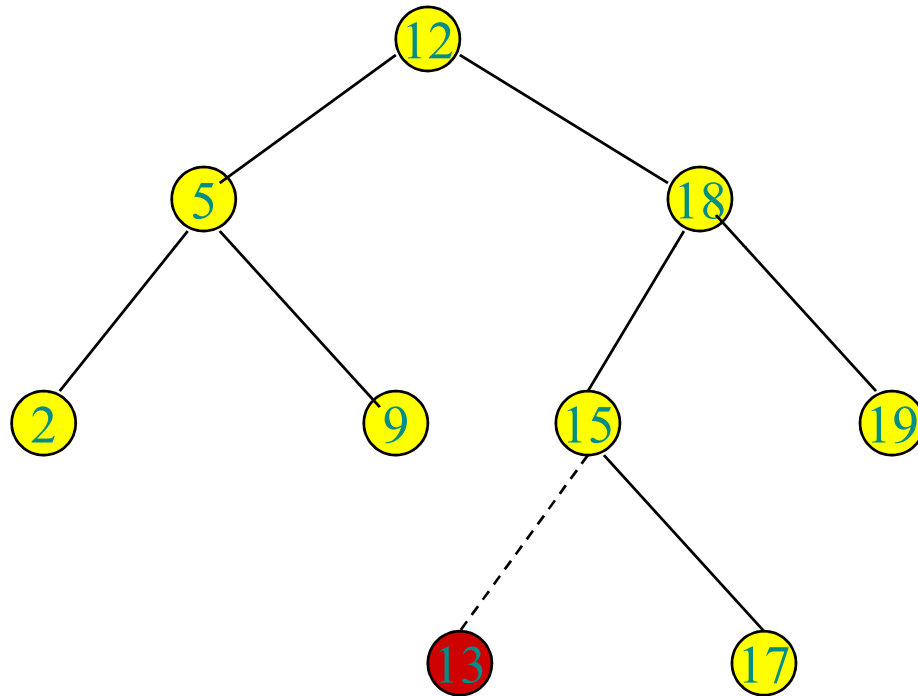
# Example of Insert

Insert( $T$ , 13)



# Example of Insert

Insert( $T$ , 13)



# BST Sort

**BST-Sort**( $A$ )

**for**  $i \leftarrow 1$  **to**  $n$

**do** **Insert**( $A[i]$ )

**Inorder**(**root**)

**Analysis:** same comparisons as **quicksort**, but in different order.

# Other Operation

Given a pointer to a node  $p$  with key  $a$ , we can find the **successor** of  $a$ .

- next higher element in inorder sequence.

# Successor

**Tree-Successor**( $x$ )

**if**  $right[x] \neq \text{NIL}$

**then return** **Tree-Minimum**( $right[x]$ )

$y \leftarrow p[x]$

**while**  $y \neq \text{NIL}$  **and**  $x = right[y]$

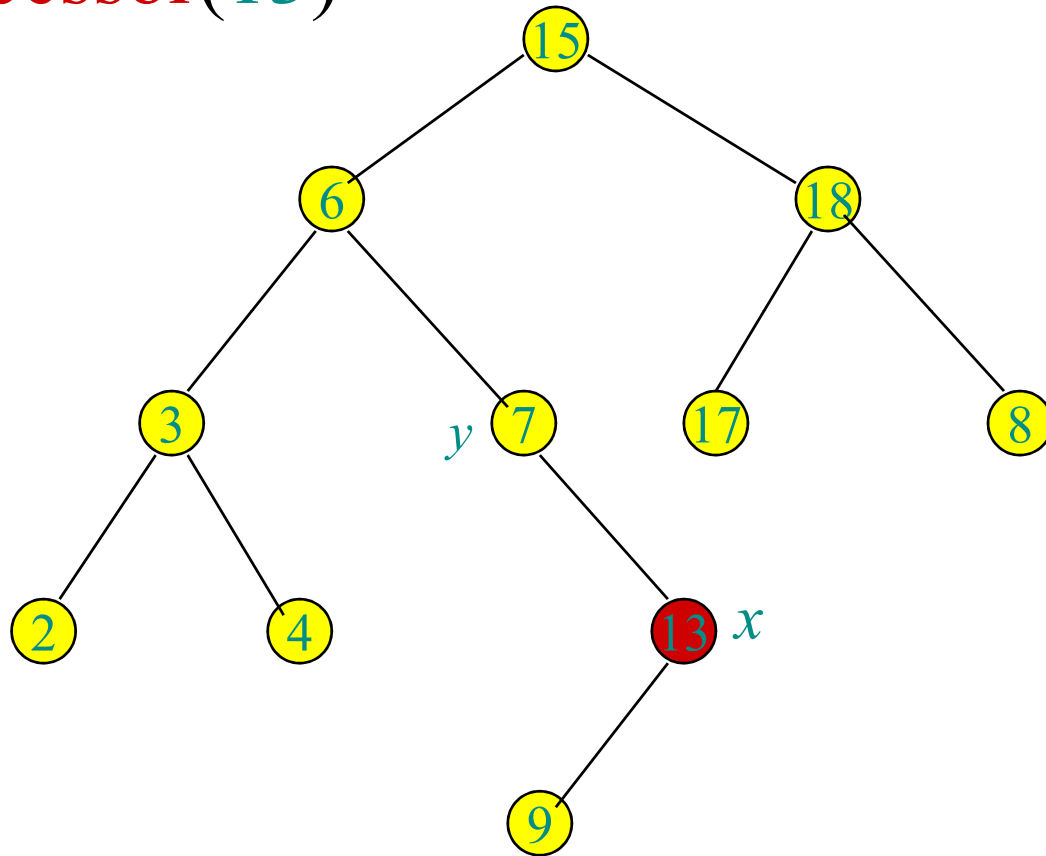
**do**  $x \leftarrow y$

$y \leftarrow p[y]$

**return**  $y$

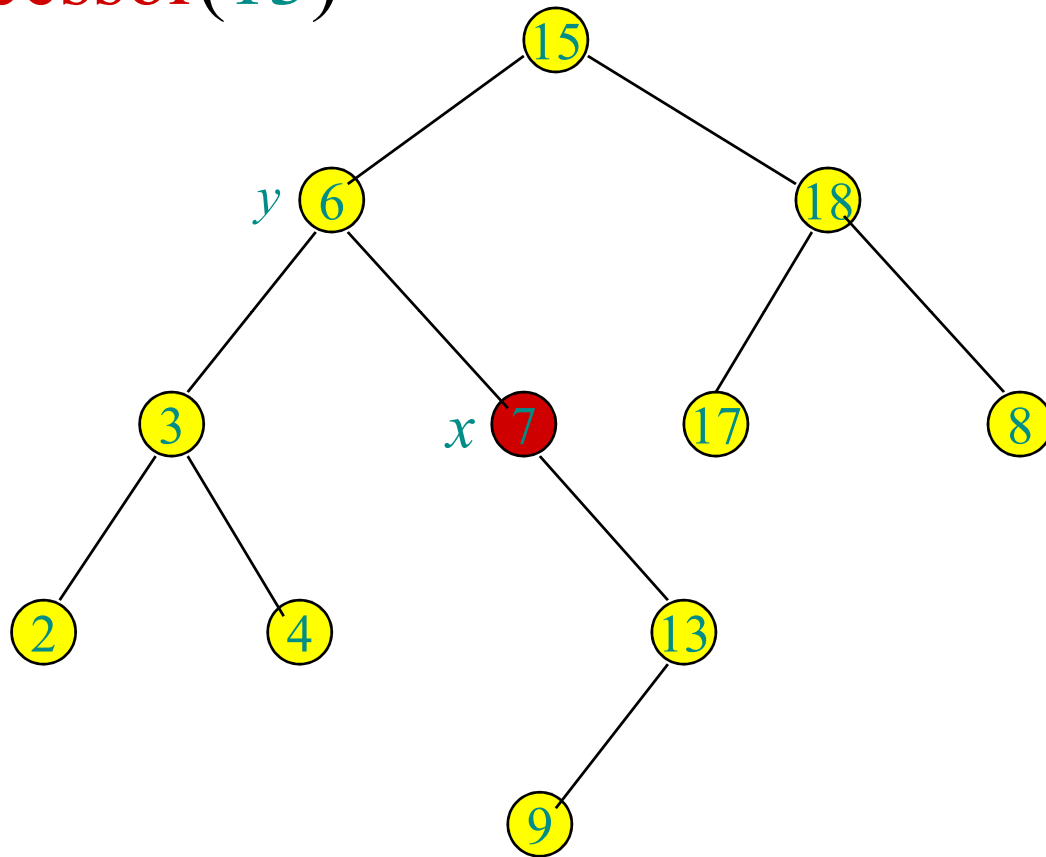
# Example of Successor

Successor(13)



# Example of Successor

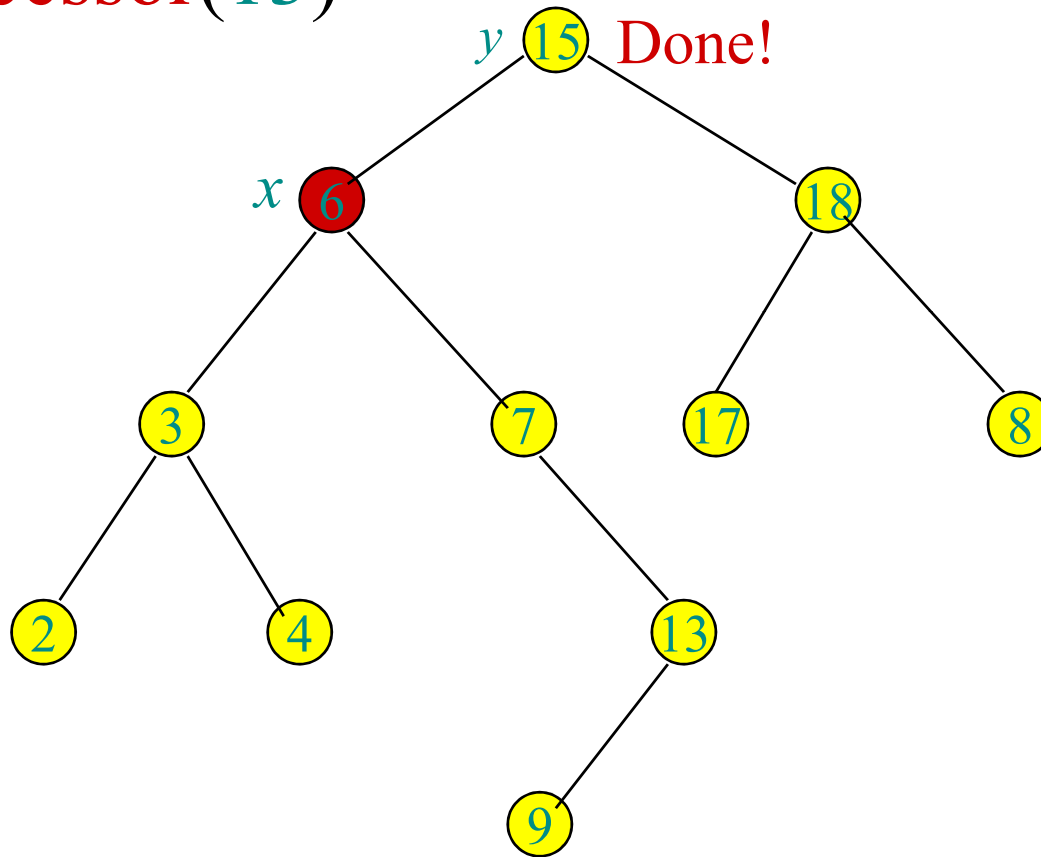
Successor(13)





# Example of Successor

Successor(13)

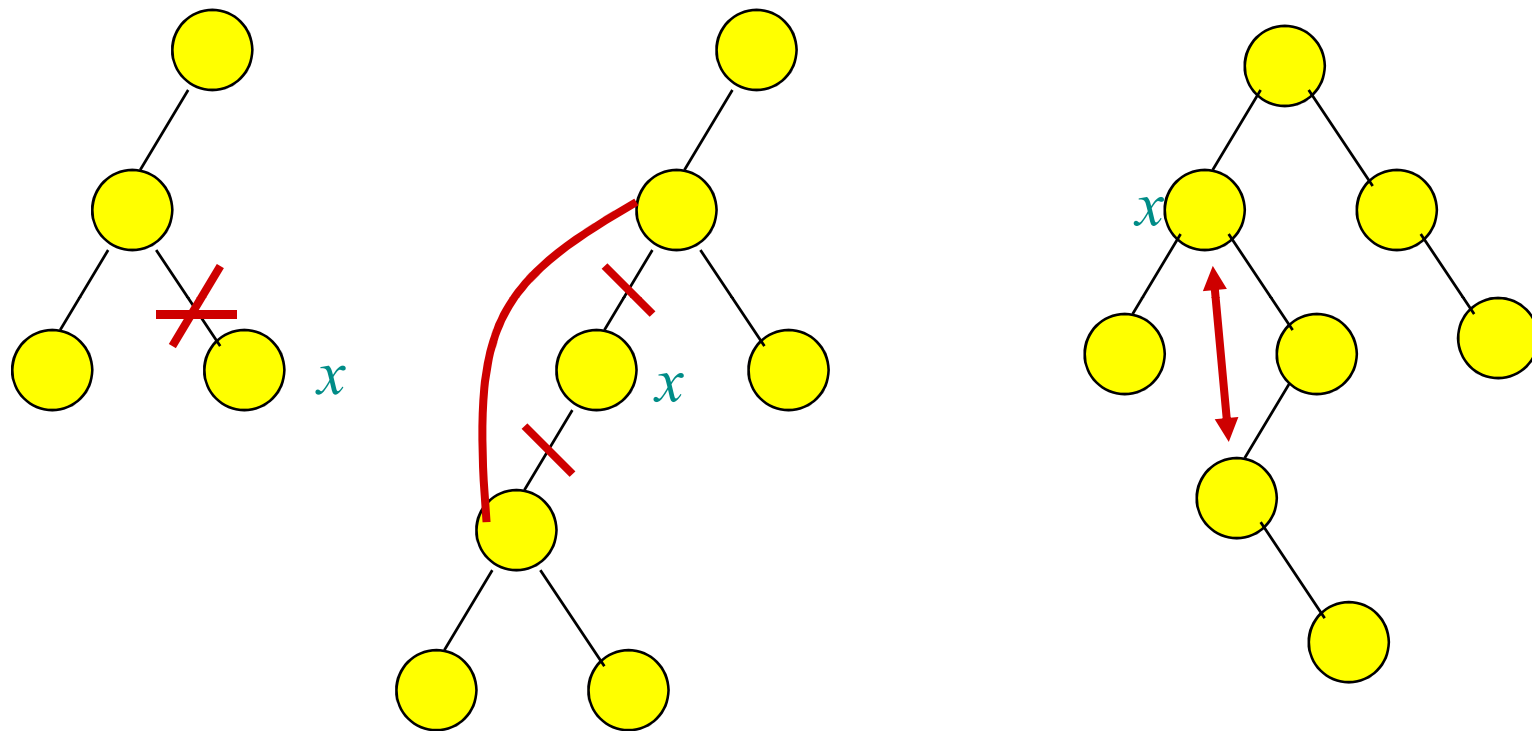


# Delete

**Delete** node pointed by  $p$ , storing key  $a$ .

- If there is **one or no child**: remove the node, attach the child, if any, to the father of  $p$  in place of  $p$ .
- If there are **two children**,
  1. find the successor of  $a$  in the tree, let it be in the node pointed by  $q$  with value  $b$ .
  2. Delete  $q$ , as in previous case; copy  $b$  in place of  $a$  on node pointed by  $p$ .

# Example of Delete



# Running Time

- The **height** of the tree bounds in the worst case the running time of each operation.
- The height can be  $n$ . The height is always at least  $\Omega(\lg n)$ .
- For  $n$  random inputs the height is  $O(\lg n)$ .
- Analysis for random inputs becomes messy when deletions are allowed.
- Assumption of random inputs is unrealistic.

# Randomized methods

- **Modify** the tree randomly (but with care) at each insert/delete, so that the height will be **logarithmic on the average** with respect to the **random choices** but in worst case with respect to the inputs.
- **Difficult to do**. Not much more efficient than worst-case efficient methods.

# Exercise

- 12.1-2, 12.1-4, 12.2-8, 12.3-2, 12.4-2